



MAR 26 2001

Please Type a plus sign (+) inside this box →

+

PTO/SB/08A (08-00)

PTO/SB/08A (08-00) 1-18

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				Complete if Known	
				Application Number	09/737,035
				Filing Date	December 14, 2000
				First Named Inventor	Johnson et al.
				Group Art Unit	2164
				Examiner Name	
Sheet <u>3</u>	1	of	2	Attorney Docket Number	85CF-00102

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered:
-----------------------	--	------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See Attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/737,035
				Filing Date	December 14, 2000
				First Named Inventor	Johnson et al.
				Group Art Unit	2164
				Examiner Name	Technology Center 2100
Sheet	1	of	2	Attorney Docket Number	85CF-00102

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.		
T ²				
GR	AA	GATH, I. and GEVA, A.B., "Unsupervised Optimal Fuzzy Clustering," IEEE Trans. Pattern Anal. Machine Intell., vol. PAMI-11, no. 7, pp. 773-781, July 1989		
	AB	BEZDEK, JAMES C.; HATHAWAY, RICHARD J.; SABIN, MICHAEL J.; and TUCKER, WILLIAM T., "Convergence Theory For Fuzzy c-Means: Counterexamples and Repairs," IEEE Trans. Syst., Man, Cybern., vol. SMC-17, no. 5, pp. 873-877, September/October 1987		
	AC	DUNN, J.C., "A Fuzzy Relative of the ISODATA Process and Its Use in Detecting Compact Well-Separated Clusters," J. Cybernetics, vol. 3, no. 3, pp. 32-57, 1973		
	AD	L. Breiman, J.H. Friedman, R.A. Olshen and C.J. Stone (1984), <i>Classification and Regression Trees</i> , Chapters 1, 2, 3, 8 and 11, Wadsworth, Belmont, CA		
	AE	BREALEY, RICHARD A. and MYERS, STEWART, C. <i>Principles Of Corporate Finance</i>		
GR	AF	EFRON, BRADLEY and TIBSHIRANI, ROBERT J., "An Introduction to the Bootstrap," Chapman & Hall publishers		

Examiner Signature	<i>Gang</i>	Date Considered:	03/07/04
--------------------	-------------	------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a checkmark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.